



Robert Greene Sterne  
Edward J. Kessler  
Jorge A. Goldstein  
David K.S. Cornwell  
Robert W. Esmond  
Tracy-Gene G. Durkin  
Michele A. Cimbala  
Michael B. Ray  
Robert E. Sokohl  
Eric K. Steffe  
Michael Q. Lee  
Steven R. Ludwig  
John M. Covert  
Linda E. Alcorn  
Robert C. Millonig  
Donald J. Featherstone  
Timothy J. Shea, Jr.  
Michael V. Messinger  
Judith U. Kim

Patrick E. Garrett  
Jeffrey T. Helvey  
Heidi L. Kraus  
Eldora L. Ellison  
Thomas C. Fiala  
Albert L. Ferro  
Donald R. Banowitz  
Peter A. Jackman  
Jeffrey S. Weaver  
Kendrick P. Patterson  
Vincent L. Capuano  
Brian J. Del Buono  
Virgil Lee Beaton  
Theodore A. Wood  
Elizabeth J. Haanes  
Joseph S. Ostroff  
Frank R. Cottingham  
Rae Lynn P. Guest  
Daniel A. Klein

Jason D. Eisenberg  
Michael D. Specht  
Andrea J. Kamage  
Tracy L. Muller  
Jon E. Wright  
LuAnne M. DeSantis  
Ann E. Summerfield  
Aric W. Ledford  
Helene C. Carlson  
Cynthia M. Bouchez  
Timothy A. Doyle  
Gaby L. Longworth  
Lori A. Gordon  
Nicole D. Dretar  
Ted J. Ebersole  
Laura A. Vogel  
Michael J. Mancuso  
Bryan S. Wade  
Aaron L. Schwartz

Shannon A. Carroll\*  
Wesley W. Jones\*  
Matthew E. Kelley\*  
Michelle K. Holoubek\*  
Marsha A. Rose\*

Registered Patent Agents\*  
Karen R. Markowicz  
Nancy J. Leith  
Matthew J. Dowd  
Katrina Yujian Pei Quach  
Bryan L. Skelton  
Robert A. Schwartzman  
Teresa A. Colella  
Victoria S. Rutherford  
Simon J. Elliott  
Julie A. Heider  
Mita Mukherjee

Scott M. Woodhouse  
Christopher J. Walsh  
Liliana Di Nola-Baron  
Peter A. Socarras  
Jeffrey Mills

Of Counsel  
Kenneth C. Bass III  
Marvin C. Guthrie

\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Practice limited to  
Federal Agencies

October 14, 2005

WRITER'S DIRECT NUMBER:  
(202) 772-8550  
INTERNET ADDRESS:  
EKESLER@SKGF.COM

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Art Unit 1712

Attn: Mail Stop Missing Parts

Re: U.S. Utility Patent Application  
Application No. 10/509,124; 371 Date: July 25, 2005  
For: **Novel Polyesterified Block Copolymer and Process for Producing the Same**  
Inventor: Takashi SAWAGUCHI  
Our Ref: 2261.0030000

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Request for Corrected Official Filing Receipt;
2. Copy of the Official Filing Receipt with corrections noted in red;
3. Copy of Application Data Sheet, as filed; and
4. Return postcard.

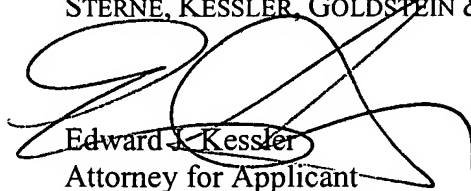
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents  
October 14, 2005  
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Edward J. Kessler  
Attorney for Applicant  
Registration No. 25,688

EJK/mhd  
Enclosures

453395



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takashi SAWAGUCHI

Application No.: 10/509,124

371 Date: July 25, 2005

For: **Novel Polyesterified Block  
Copolymer and Process for  
Producing the Same**

Confirmation No.: 3315

Art Unit: 1712

Examiner: To Be Assigned

Atty. Docket: 2261.0030000

**Request for Corrected Official Filing Receipt**

*Attn: Mail Stop Missing Parts*

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby requests that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following correction to the Official Filing Receipt is requested:

**In the Assignment for Published Patent Application section please delete "Kouyou" and insert --Kougyou--.**

In support of the above request, a photocopy of the Official Filing Receipt is enclosed with the correction noted in red. Also enclosed is a copy of the originally filed Application Data Sheet, which properly identifies the correct name.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

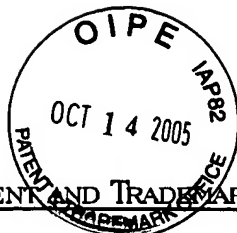
Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Edward J. Kessler  
Attorney for Applicant  
Registration No. 25,688

Date: 04 Oct 2005

1100 New York Avenue, N.W.  
Washington, D.C. 20005-3934  
(202) 371-2600  
453381



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/509,124	07/25/2005	1712	1136	2261.0030000	19	5	4

28393

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.  
1100 NEW YORK AVE., N.W.  
WASHINGTON, DC 20005

CONFIRMATION NO. 3315

FILING RECEIPT

\*OC000000016887582\*

\*OC000000016887582\*

Date Mailed: 09/02/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Takashi Sawaguchi, Kanagawa, JAPAN;

## Assignment For Published Patent Application

SAN-EI KOUYOU CORPORATION, Saitama, JAPAN

G

Power of Attorney: The patent practitioners associated with Customer Number 28393.

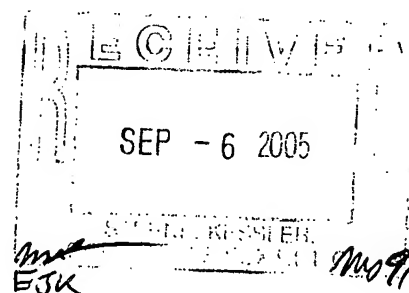
## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/03736 03/26/2003

## Foreign Applications

JAPAN 2002093813 03/29/2002

JAPAN 2002116131 04/18/2002



Projected Publication Date: 12/08/2005

Non-Publication Request: No

Early Publication Request: No

Title

Re: Petition For Expedite Foreign Filing License due December 25, 2005  
STAT BAR January 25, 2006

DOCKETED

Novel polyesterified block copolymer and process for producing the same

**Preliminary Class**

055

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

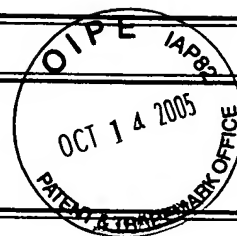
#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## APPLICATION DATA SHEET

Electronic Version v14

Stylesheet Version v14.0

**Title of  
Invention**

Novel Polyesterified Block Copolymer and Process for Producing the Same

Application Type: regular, utility  
Attorney Docket Number: 2261.0030000

Correspondence address:

**Customer Number:**

26111

\*26111\*

Continuing Data:

This is a National Stage of JP application number PCT/JP03/03736, filed 2003-03-26 ,  
now Pending.

Priority Data:

Doc.No: JP 2002-093813; Country - JP; Date: 2002-03-29 us-priority-claimed  
Doc.No: JP 2002-116131; Country - JP; Date: 2002-04-18 us-priority-claimed

Inventor Information:

Inventor 1:

**Applicant Authority Type:** Inventor  
**Citizenship:** JP  
**Given Name:** Takashi  
**Family Name:** SAWAGUCHI  
**City of Residence:** Kanagawa  
**Country of Residence:** JP  
**Address-1 of Mailing Address:** 48-7, Serigaya 5-chome  
**Address-2 of Mailing Address:** Konan-ku, Yokohama-shi  
**City of Mailing Address:** Kanagawa 233-0006  
**State of Mailing Address:**  
**Postal Code of Mailing Address:**

**Country of Mailing Address:** JP

**Phone:**

**Fax:**

**E-mail:**

**Attorney Information:**

**practitioner(s) at Customer Number:**

26111

\*26111\*

as my attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

**Assignee 1:**

**Organization Name:** SAN-EI KOUGYOU CORPORATION

**Address-1 of Mailing Address:** 302, Togasaki 3-chome

**Address-2 of Mailing Address:** Misato-shi

**City of Mailing Address:** Saitama 341-0044

**State of Mailing Address:**

**Postal Code of Mailing Address:**

**Country of Mailing Address:** JP

**Phone:**

**Fax:**

**E-mail:**